

**2018 HEALTH**  
MEETING  
JUNE 25-27 • AUSTIN, TX



## **Session 97L, Ortho Clinical Path for Lower Back Pain- An Analysis**

### **Moderator/Presenter:**

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### **Presenters:**

Eli Greenberg, ASA, MAAA

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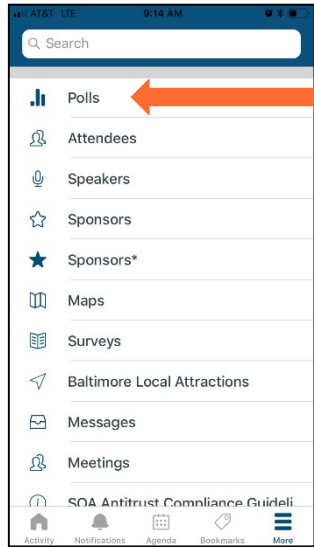
# 2018 SOA Health Meeting

**ELI GREENBERG, ASA, MAAA**  
**097- Ortho Clinical Path for Lower Back Pain**  
June 26, 2018



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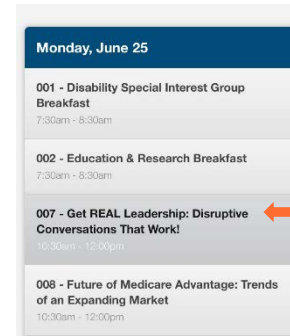
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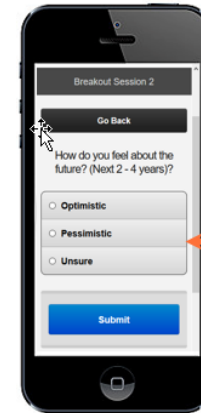
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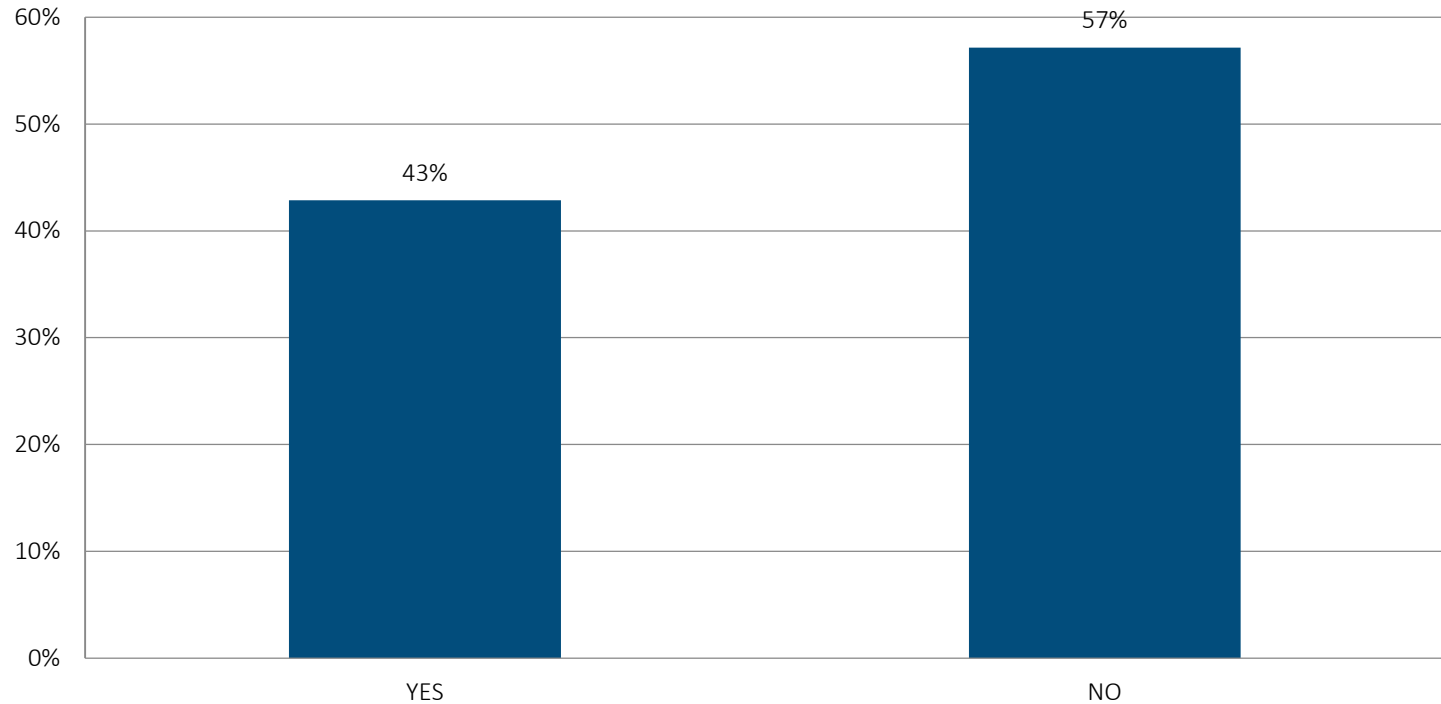
# Seinfeld Video

## *Live Content Slide*

*When playing as a slideshow, this slide will display live content*

**Poll: Have you ever received  
medical care for low back pain?**

## Have you ever received medical care for low back pain?



# Overview

## Section 1: Why Low Back Pain?

- Why discuss & analyze back pain?
- What constitutes low back pain?
- What are the clinical guidelines for the treatment of back pain?

## Section 2: Analysis of the Treatment Sequence for Lower Back Pain

- What are the current treatment patterns, and variations for lower back pain?
- How does treatment path vary based on place of entry in the system?
- How does treatment path vary based on first treatment option used?

## Section 3: Plan Design Opportunities and Optimization

- What can we do to improve the treatment of lower back pain? Insurers, Plan Sponsors.
- Plan designs & incentives
- Case study

# Section 1: Why Low Back Pain?

- Why discuss & analyze back pain?
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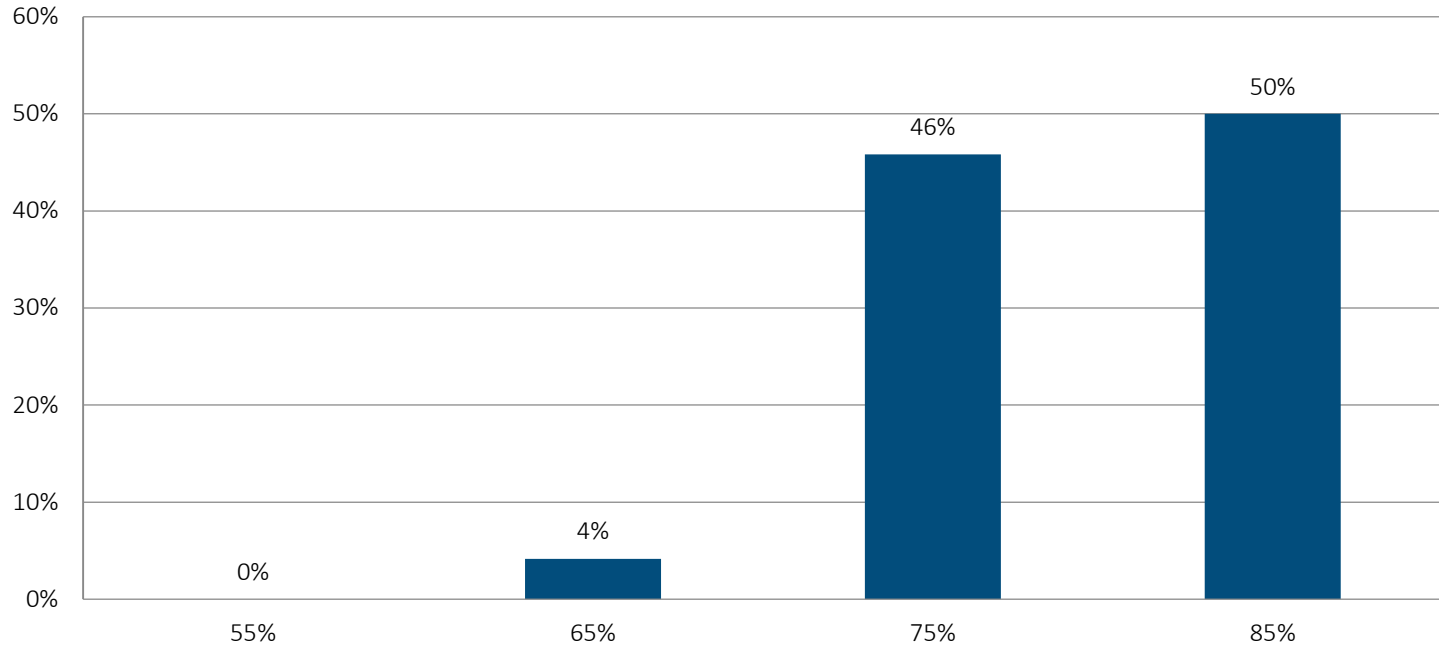


## *Live Content Slide*

*When playing as a slideshow, this slide will display live content*

**Poll: What percent of adults will  
have lower back pain at some  
point in their lives?**

## What percent of adults will have lower back pain at some point in their lives?



# Why Analyze Low Back Pain (LBP)?

## Disability, Prevalence, Cost

### Disability

- Leading cause of disability.

### Prevalence

- 85% of adults will have lower back pain at some point in their lives.
- Prevalence of activity limiting lower back pain estimated ~7.5% .

### Cost

- Health care costs related to LBP ~\$86B. Does not include cost of lost wages & productivity. (2008)
- Opioid epidemic



# What is Low Back Pain?

## Low back pain is a symptom, not a disease

- Defined by the location of the pain- between lower rib margins and buttocks.
- For nearly all (85%-90%) people presenting with low back pain, the specific source of nerve pain cannot be identified. This is Non-Specific low back pain.
- Most episodes of LBP improve substantially within 6 weeks, and by 12 months average pain levels are low. Recurrence is common, and in a small proportion of the people LBP becomes persistent and disabling.



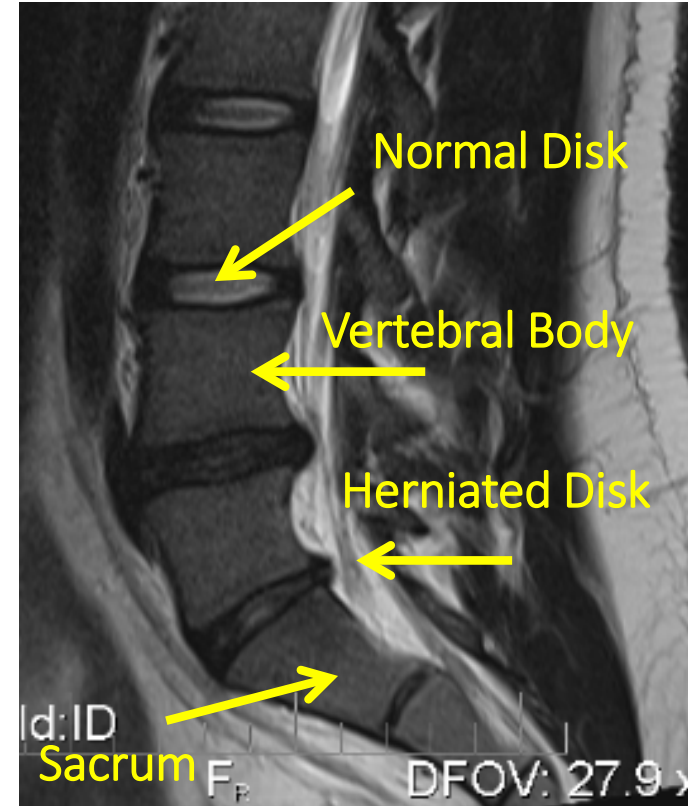
# Radicular Pain (Radiculopathy)

People w/ LBP & radicular pain are more severely affected, have worse outcomes

Radicular Pain occurs when there is nerve-root involvement.

## Symptoms:

- Straight leg raise test- negative.
- Leg pain worse than back pain
- Worsening of pain during coughing, sneezing, or straining.
- Weakness, loss of sensation.
- Loss of reflexes associated with a particular nerve root.



# Clinical Practice Guidelines

## American College of Physicians, 2017

### Acute < 4 Weeks

- Heat
- Massage
- Acupuncture
- Spinal manipulation

### Pharmacological

- NSAIDs
- Muscle relaxants

### Subacute: 4-12 Weeks

- Heat
- Massage
- Acupuncture
- Spinal manipulation

### Pharmacological

- NSAIDs
- Muscle relaxants

### Chronic: 12+ Weeks

#### Initially

- Exercise, Yoga/ Tai Chi
- Acupuncture
- Motor control exercise
- Spinal Manipulation
- NSAIDs & Muscle Relaxants

#### Second Line Therapy

- Tramadol
- Duloxetine

# Clinical Practice Guidelines

## Additional Recommendations

- Routine use of **opioids** is not recommended.
- **Imaging** should only occur if the clinician suspects a specific condition that would require different management than non-specific LBP.
- Recent guidelines do not recommend spinal epidural **injections** or facet joint **injections** for low back pain.
- The benefits of **spinal fusion surgery** for non-radicular LBP thought to originate from degenerated lumbar discs are similar to those of intensive multidisciplinary rehabilitation.



# Section 2: Analysis of the Treatment Sequence for Lower Back Pain

- What are the treatment patterns, and variations for lower back pain?
- How does treatment path vary based on place of entry in the system?
- How does treatment path vary based on first treatment option used?





# Analysis Parameters: Ortho Clinical Path-LBP

## Analyzing the first occurrence of lower back pain

### Data

- 30 large ASO employers ~1.3M members
- Cohort of **57,217** adults age 18-65, continuously enrolled over a 4 year period, who did not have a diagnosis for lower back pain in year 1, but then had a diagnosis for lower back pain in year 2.
- Excludes cancer, fractures, osteoporosis, tuberculosis.

### Incidence

- **3.6%** is incidence for first occurrence of back pain
- Surgery rate of **2.9%** among LBP cohort.



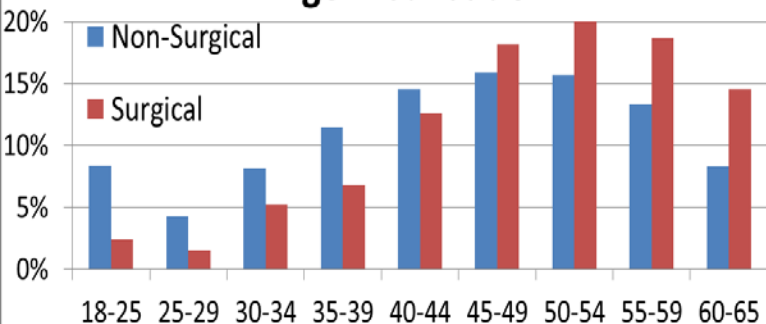
# Group Demographics: Surgical vs Non-Surgical

Surgical Group is older, more male, higher risk than Non-Surgical Group

**Non-Surgical      Surgical**

Individuals	55,565	1,652
Avg Age	44.2	49.0
% Female	54%	48%
Retro Risk Score	1.75	2.60
Prospective Score	1.71	2.39

**Age Distribution**

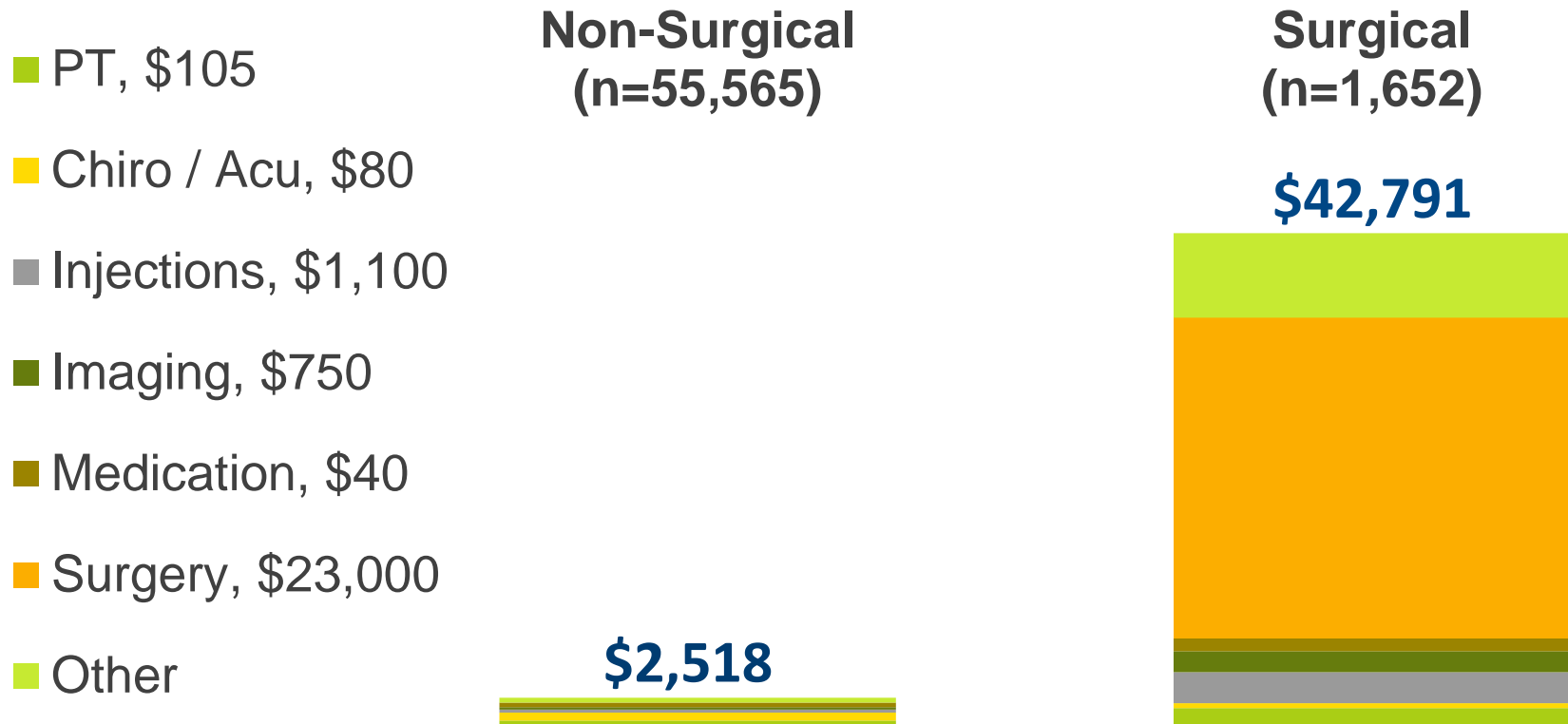


**Dx Codes from imaging**

Diagnosis Code Description	NS	S
LUMBAGO	26%	19%
DEGEN LUMB/LUMBOSAC INTERVERT DISC	11%	13%
DISPLCMT LUMBAR DISC W/O MYELOPATHY	11%	19%
UNSPECIFIED BACKACHE	8%	4%
THOR/LUMBOSACRL NURIT/RADICULIT UNS	7%	10%
LUMBOSAC SPONDYLOSIS W/O MYELOPATHY	7%	7%
NONALLOPATHIC LES LUMBAR REGION NEC	4%	0%
PAIN IN THORACIC SPINE	3%	1%
SCIATICA	3%	2%
LUMBAR SPRAIN AND STRAIN	3%	1%
SPINAL STEN LUMB W/O NEUROGEN CLAUD	2%	8%
SCOLIOSIS , IDIOPATHIC	2%	2%
<b>Total %</b>	<b>85%</b>	<b>85%</b>

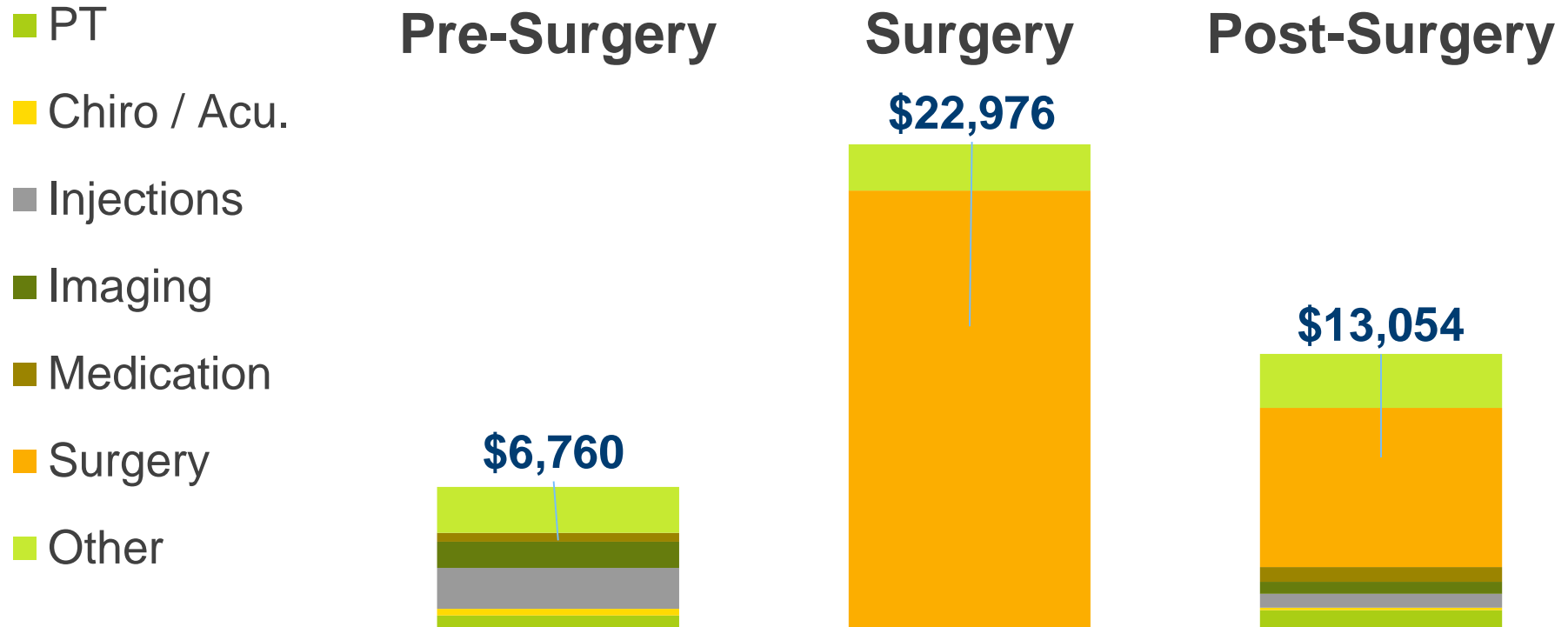
# Back Pain Costs for a Typical Health Plan

Surgical Cases are 17x more expensive annually. Episode Costs (Cov \$)



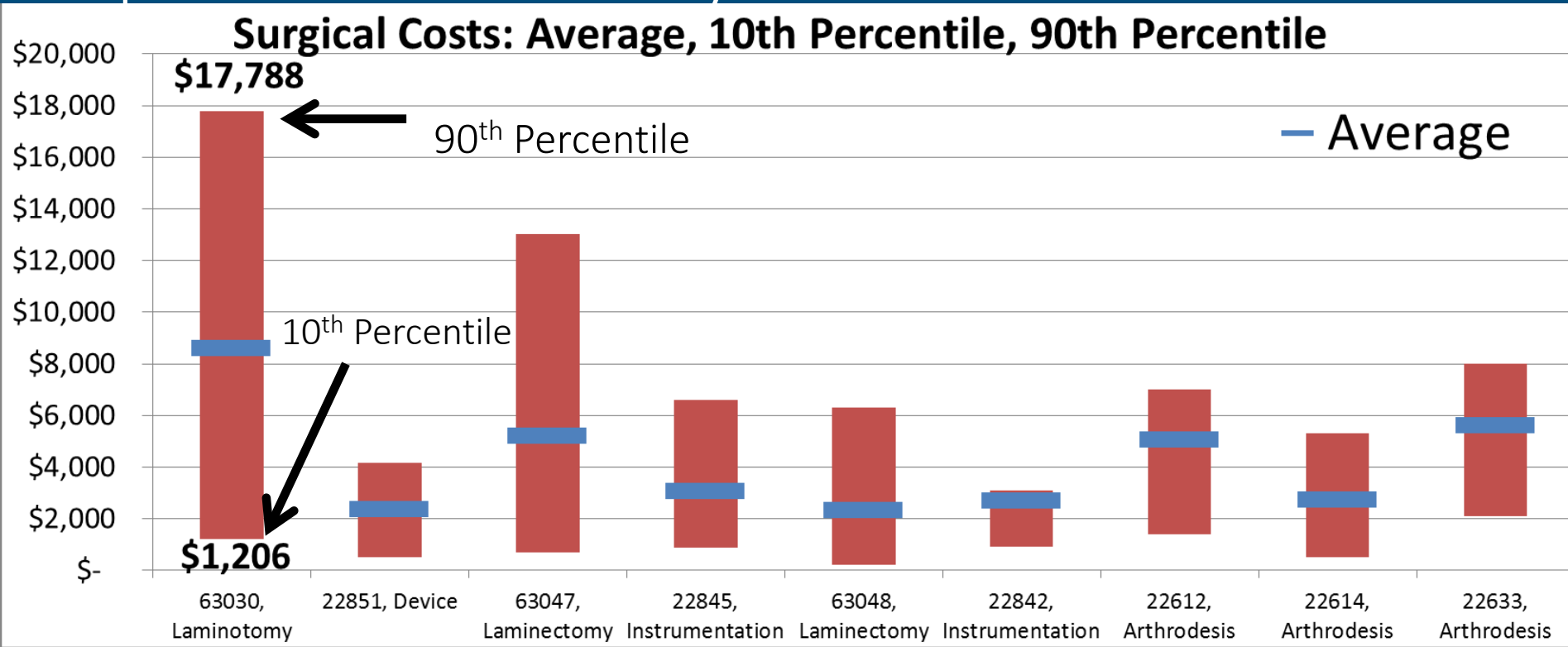
# Surgical Sequence (1,652 Adults)

30% have a 2<sup>nd</sup> surgery.



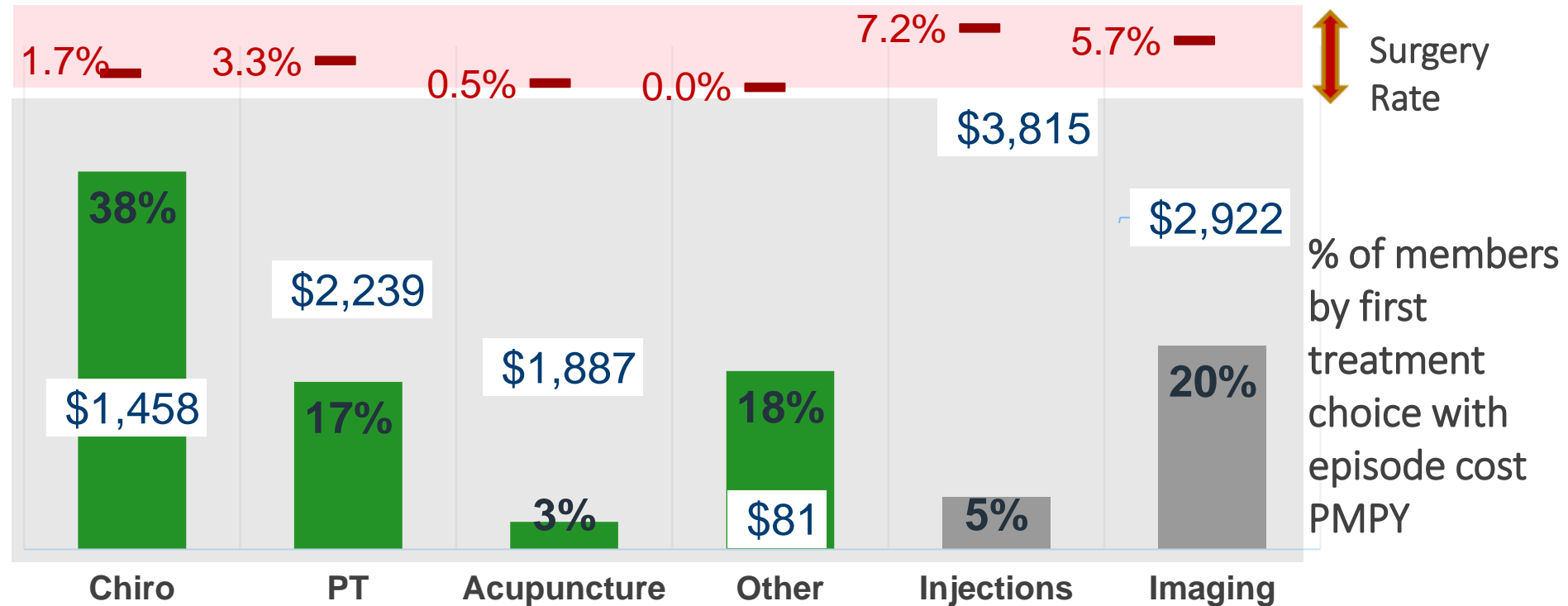
# Variability of Surgical Costs

9 surgical codes are present in 80% of the surgeries in this study.  
42% price inflation over last 5-6 years.



# Variability in Episode Costs by First Treatment

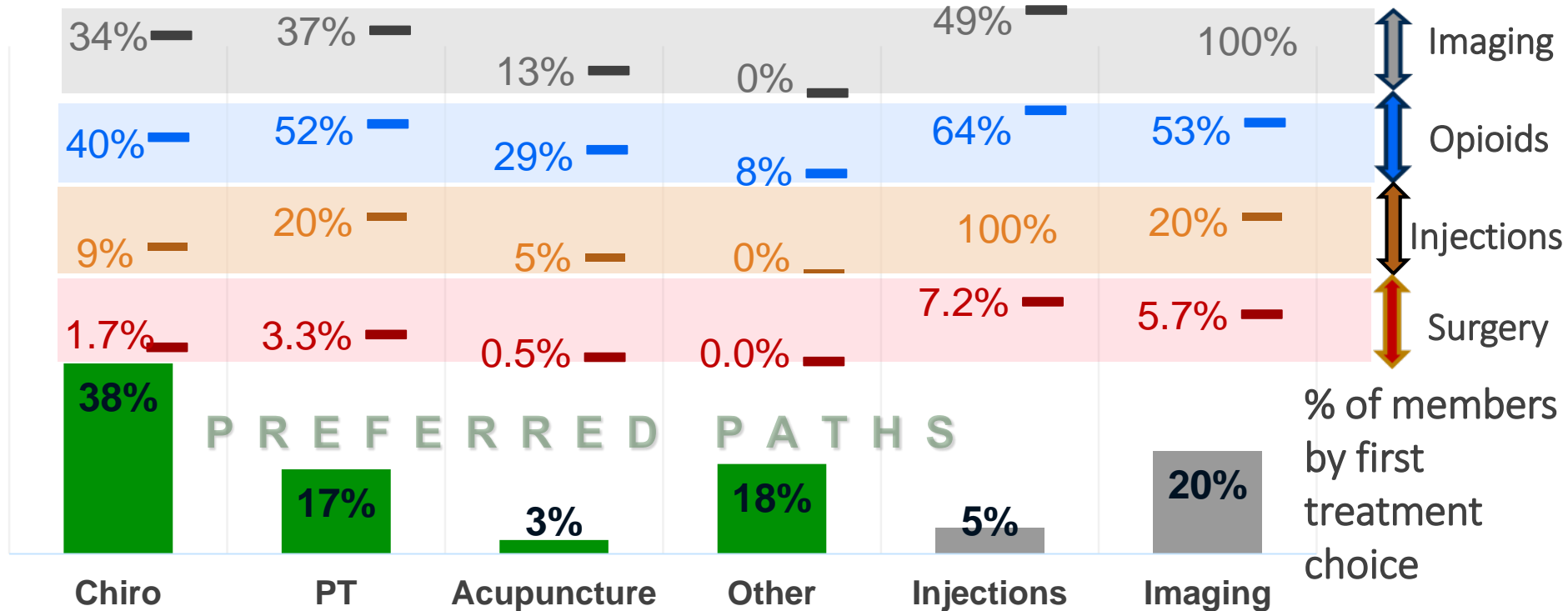
Average episode cost, surgical rate by first treatment option



# Variability in Outcomes by First Treatment

Doing Chiro as first treatment option is optimal

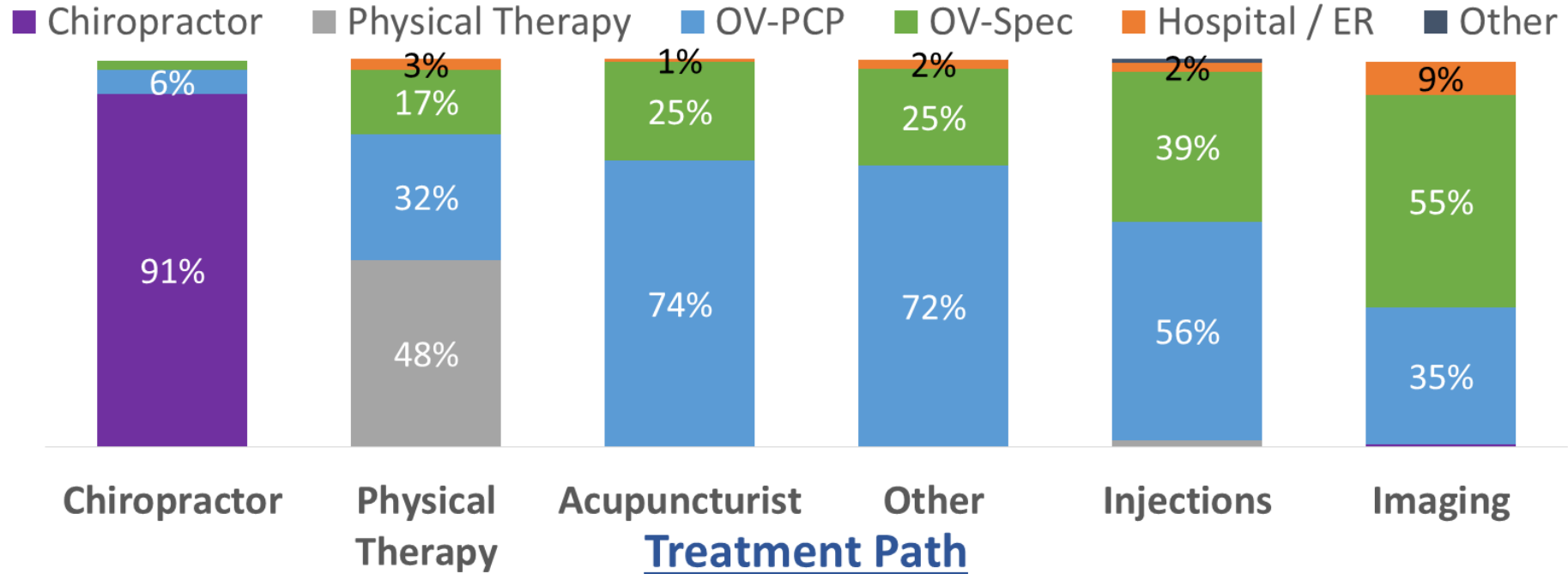
Injections or Imaging increase surgical probability by 3-5X



# Origin of First Visit by Treatment Path

Chiro is self referred, PCP present, Specialist influence

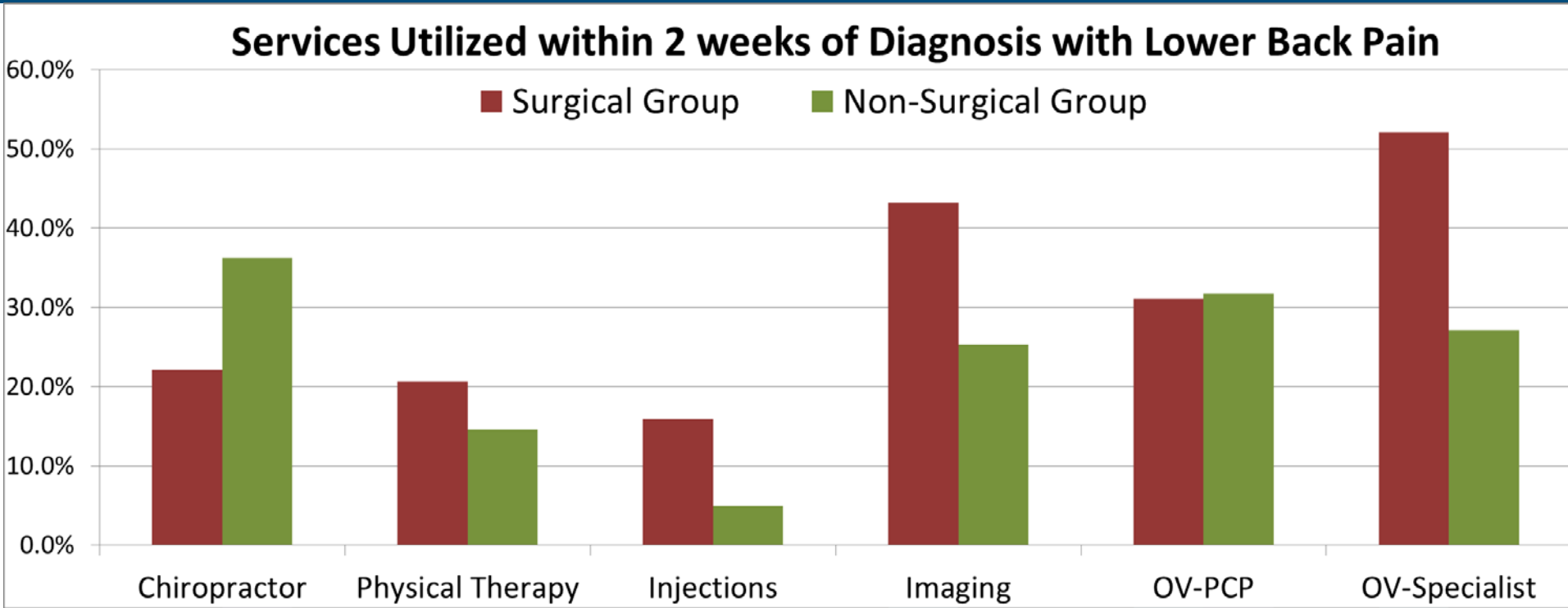
## Origin of First Visit by Treatment Path





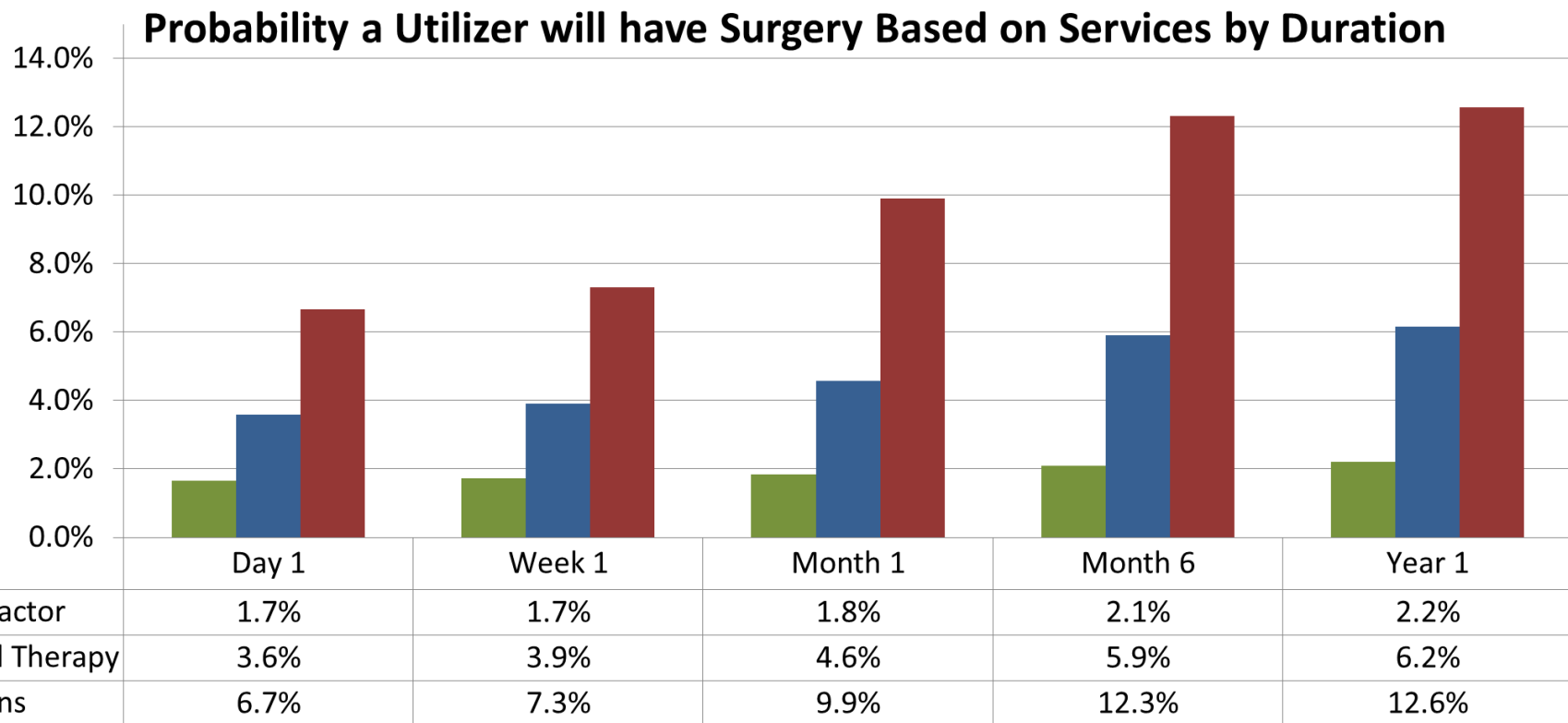
# Pace of treatment-First 2 Weeks After Diagnosis

More Chiro for Non-Surgical Group, less injections, imaging, specialists.  
Services are consumed quickly



# Back Pain Treatment Path- Probability of Surgery

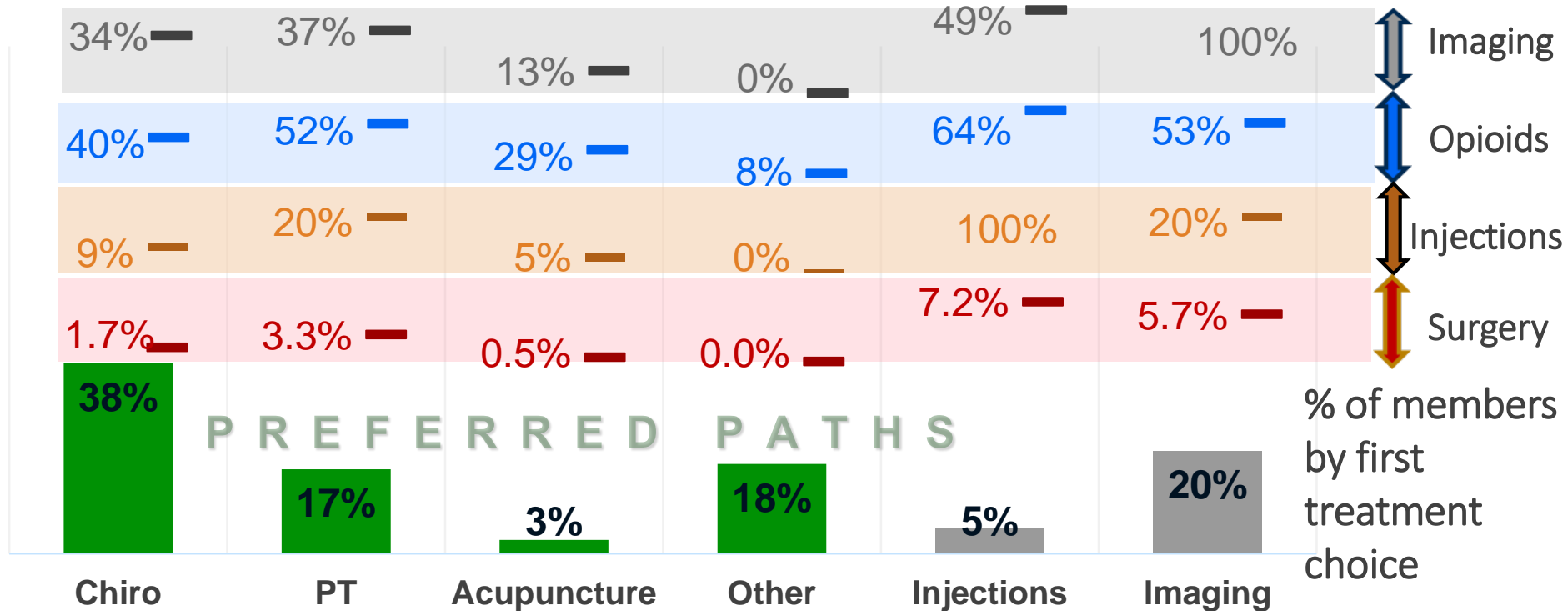
The timeline is important- early conservative treatment is imperative



# Variability in Outcomes by First Treatment

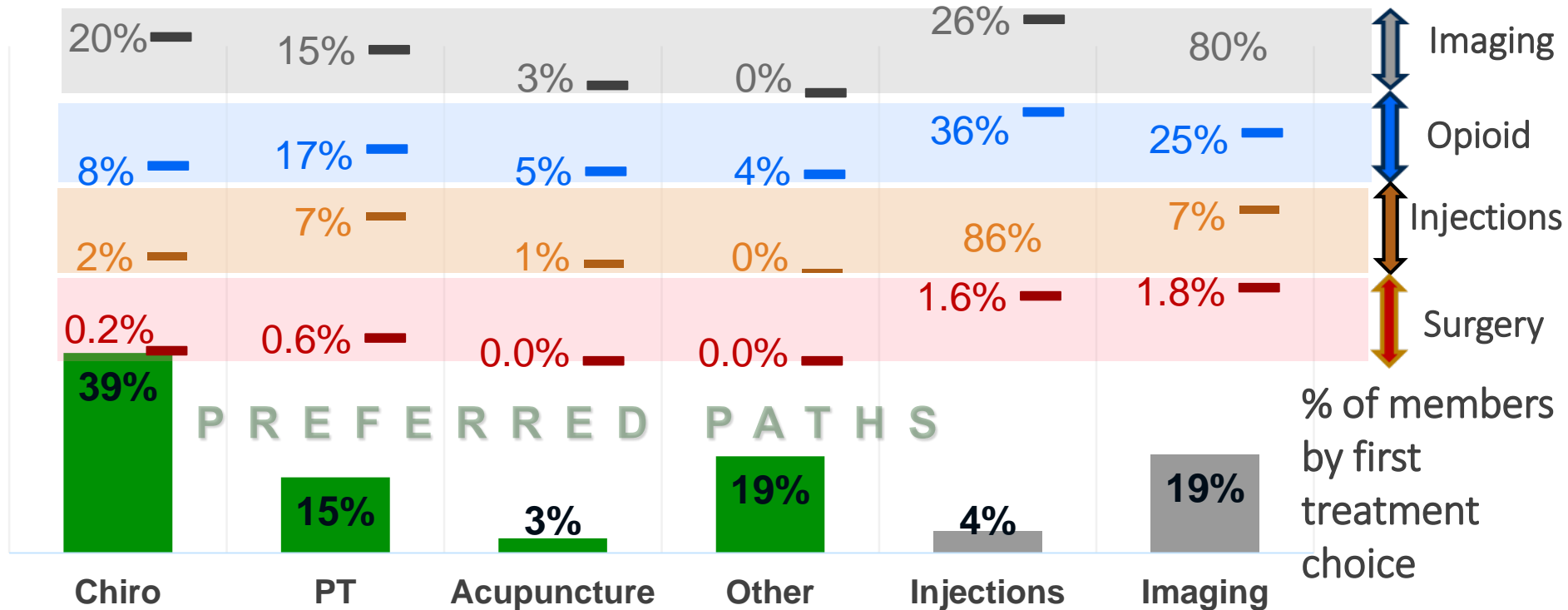
Doing Chiro as first treatment option is optimal

Injections or Imaging increase surgical probability by 3-5X



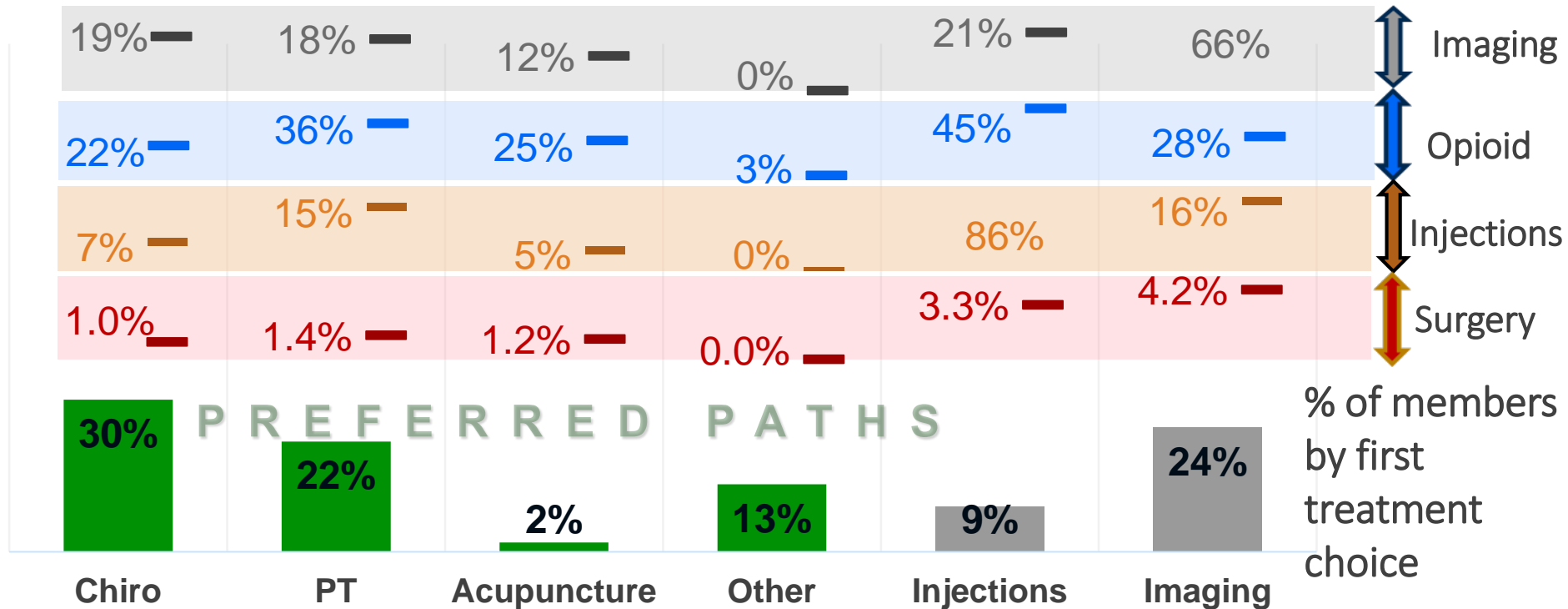
# Variability in Outcomes by First Treatment

Low Risk (N=40,118) | Outcomes first 3-months post diagnosis of LBP  
Injections or Imaging increase surgical probability, Opioid Use



# Variability in Outcomes by First Treatment

High Risk (N=4,470) | Outcomes first 6-months post diagnosis of LBP  
Injections or Imaging increase surgical probability, Opioid Use



# Predictive Decisions

## First 30 days are paramount

Tier	Definition: Applies to first 30 days after a diagnosis for lower back pain	% Pop	P[Surgery]	Episode Cost
Worst	Starts with <b>Imaging</b> also does <b>Injections</b>	1%	21%	\$ 11,076
Bad	Any <b>Injections</b>	5%	8%	\$ 5,733
Middle	Starts with <b>Imaging</b> , no <b>Injections</b>	19%	5%	\$ 3,636
Good	Starts with <b>Physical Therapy</b> , No <b>Injections</b>	12%	3%	\$ 3,445
Best	Starts with <b>Chiro</b> , no <b>Injections</b>	35%	2%	\$ 1,718
Acute; 18% Delayed; 10%	Not consuming any of these services in the first 30 days	28%	1%	\$ 1,224

# Analysis Conclusions

- Early conservative treatment leads to better outcomes.
- Liberal, non-evidence based use of imaging is prevalent.
- Injections to manage pain should not be used as a first line option.
- The use of Opioids is prevalent ( 12% in 2-weeks, 22% by 6-months, ~50% for episode)

# Section 3: Plan Design Opportunities and Optimization

- What can we do to improve the treatment of lower back pain?
- Plan designs & incentives
- Case study





# What can we do to improve the treatment of lower back pain?

- Promote Conservative treatment.
  - Remove impediments to doing chiro and physical therapy.
  - Develop incentives to do chiro and physical therapy.
- Leverage Prior Authorizations
  - Imaging, Injections, Surgery
- Awareness
  - Physicians: Outreach to promote alignment with clinical practice guidelines.
  - Patients: Resources to discover what treatment options are appropriate & available.



# Promote Conservative Treatment

## Plan Design - Free Visits

### Design

- First 3-6 Chiro or PT visits are at no cost to the member, good for 2 weeks.
- Select list of providers only.

### Cost Impact

- +0.1% to +0.3% of claim cost.

### Benefit

- Reduction in surgeries, injections, imaging, opioids from members being on a better treatment path.

### Risks

- Incentives for utilization.
- Unable to restrict benefits by diagnosis severity.
- Does not decrease usage of imaging, injections, opioids, surgery as much as expected.



# Promote Conservative Treatment

## Plan Design- Consult, Reward, Penalty

### Design

- Required **Consult** upon diagnosis with back pain.
- If member skips the consult and ends up having surgery then assessed a \$500 **Penalty** in extra out-of-pocket ortho related costs.
- For those members to which Chiro is suggested, plan sponsor provides a \$150 voucher (**Reward**) to support the first 2 visits.

### Cost Impact

- Net of Incentives less penalty.
- Providing Consult services.

### Benefit

- Steerage Savings
- Penalty > Incentive

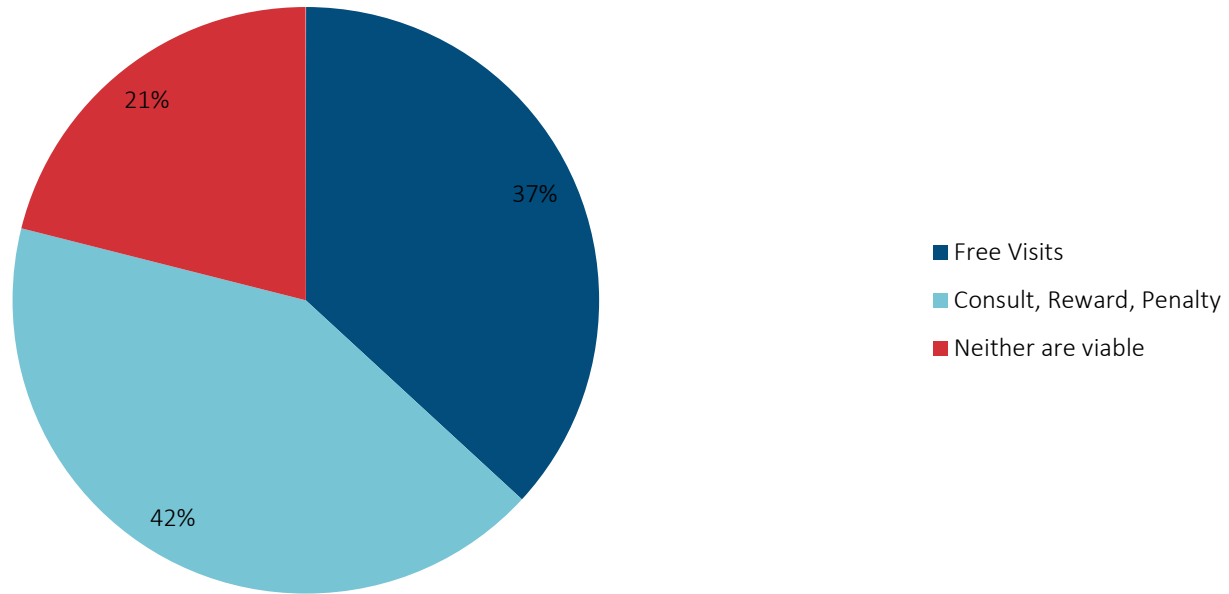


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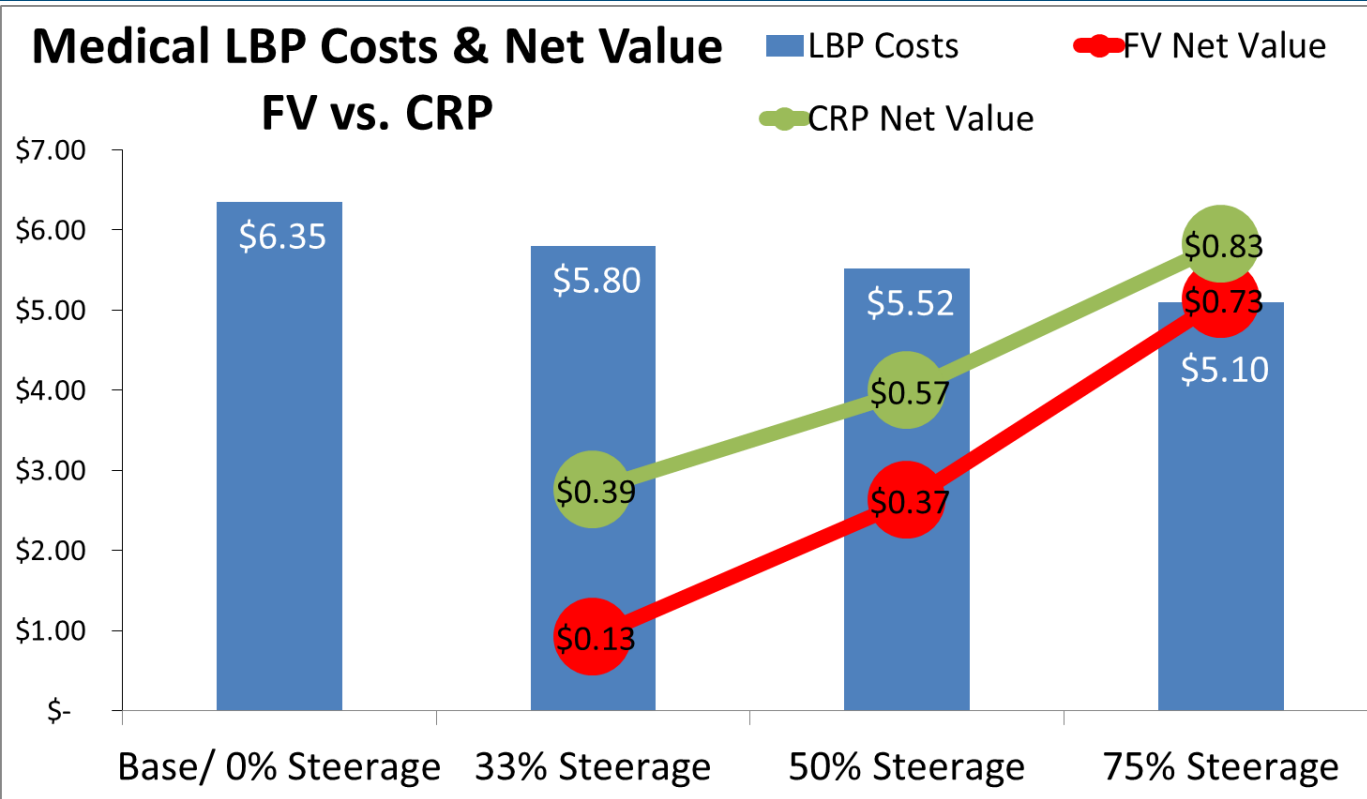
**Poll: Which plan design model is  
more viable?**

## Which plan design model is more viable?



# Which incentive plan design is better?

## Free Visits vs. Consult, Reward, Penalty



Free Visits	
Steerage	Plan Cost
33%	\$0.42
50%	\$0.46
75%	\$0.52
Consult, Reward, Penalty	
Steerage	Plan Cost
33%	\$0.16
50%	\$0.26
75%	\$0.42

# Case Study

## UHC ASO Client. 50k Employees, 100k Members, 60% Female

### Orthopedic Nurse Outreach Program

- Call nurse and may qualify for \$100 gift card.
- Dedicated nurse that is the same throughout the member's journey
- Nurse referral to physical therapists, increase exercise, stretching routines
- Goals established during each appointment.
- 91% of participants with 2+ appointments
- Annualized savings estimated at \$100k

Enrollment Duration

6 Weeks

Identified Members

391

Enrollment Rate

12%

INBOUND

34

OUTBOUND

12

TOTAL

46

# Summary

## Section 1: Why Low Back Pain?

- Low back pain is a **recurrent disorder**, with a variable course, rather than episodes of unrelated occurrences.
- LBP is important because it is high **incidence** and a driver of **disability**, and health care **costs**.
- Clinical guidelines recommend use of **conservative treatment early**, avoidance of opioids, and injections. Imaging is appropriate only when a clinician suspects a specific condition.

## Section 2: Analysis of the Treatment Sequence for Lower Back Pain

- Significant **variability of outcomes** is evident by first treatment used and pace of treatment.
- **Place of entry** into the system influences treatment used. PCP vs SPC vs Chiro

## Section 3: Plan Design Opportunities and Optimization

- Opportunity to expand **prior authorizations** as a tool to ensure medically necessary care.
- **Awareness**: outreach to patients and providers to ensure alignment with clinical guidelines.
- **Plan design incentives** can be used to promote early conservative care.



# Questions?

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# Appendix



# Citations

## The Lancet- Series on low back pain, Annals of Internal Medicine

1. Buchbinder R, van Tulder M, Oberg B, et al. Low back pain: a call for action. Lancet (London, England). 2018;391(10137):2384-2388.
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5. Hartvigsen J, Hancock MJ, Kongsted A, et al. What low back pain is and why we need to pay attention. The Lancet. 2018;391(10137):2356-2367.
6. Kosloff TM, Elton D, Shulman SA, Clarke JL, Skoufalos A, Solis A. Conservative spine care: opportunities to improve the quality and value of care. Population health management. 2013;16(6):390-396.
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# Variability of Outcomes

Factors: Symptom-related, Lifestyle, Psychological, Social

Symptom-Related	Lifestyle	Psychological	Social
Previous Episodes	Body Mass	Depression	Physical work loads
Pain Intensity	Smoking	Catastrophizing	Education
Presence of Radiculopathy	Physical Activity	Fear Avoidance Beliefs	Compensation
			Work Satisfaction

# Summary Chart

The higher risk levels see greater increases in adverse outcomes over time

Time Frame	3 Months			Increase from 3 to 6 Months		
Risk/Rate	Low	Medium	High	Low	Medium	High
Imaging	27%	26%	25%	+8%	+13%	+19%
Opioid	16%	20%	13%	+24%	+30%	+31%
Injection	7%	10%	13%	+17%	+21%	+25%
Surgery	0.7%	1.0%	1.3%	+50%	+56%	+79%



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